

- Q: what are some special subspaces associated to T?  $\times$  the <u>y-axis</u>: if  $\overline{v}$  is on the y axis then  $T(\overline{v}) = \overline{v}$ .  $\times$  the <u>x-axis</u>: if  $\overline{v}$  is on the xaxis then  $T(\overline{v}) = -\overline{v}$ .
- Det Let  $T: \mathbb{R}^2 \to \mathbb{R}^2$  be a linear trans. A scalar  $\lambda$  is called an <u>eigenvalue</u> of T if  $T(\overline{x}) = \lambda \overline{x}$  has a nontrivial solution. Each non-trivial solution to  $T(\overline{x}) = \lambda \overline{x}$  is called an <u>eigenvector</u> associated to  $\lambda$ . The collection of all solutions to  $T(\overline{x}) = \lambda \overline{x}$  is called the <u>eigenspace</u> of T associated to  $\lambda$ , which we denote  $E_{\lambda}(T)$ .
  - \* eigenvectors are nonzero but eigen values may be zero. \* eigenvectors are those that are just scaled by T.
- Ex Let T: R<sup>2</sup> → R<sup>2</sup> be refl. oner the y-axis.
  λ=1 is an eigenvalue b/c T(x) = x has nontrivial sol.
   [3] is an eigenvector assoc. to λ=1, b/c T([3]) = [3]
   E<sub>1</sub>(T) is the collection of all vectors of the form [b), b in R.

$$- \begin{bmatrix} 2 \\ 2 \end{bmatrix} \text{ is not an eigenvector } b/c \quad T(\begin{bmatrix} 2 \\ 2 \end{bmatrix}) = \begin{bmatrix} -1 \\ 2 \end{bmatrix} \neq \lambda \begin{bmatrix} 2 \\ 2 \end{bmatrix}$$
for any  $\lambda$ .

Det Let Abenxn. Ascalar 
$$\lambda$$
 is an eigenvalue of  
A if  $A\overline{x} = \lambda \overline{x}$  has a nontrivial solution. Each  
Nontrivial sol. to  $A\overline{x} = \lambda \overline{x}$  is called en eigenvector  
associated to  $\lambda'_{i}$  the collection of all solutions  
to  $A\overline{x} = \lambda \overline{x}$  is the eigen space.

$$E_{X} \text{ Let } A = \begin{bmatrix} z & 0 \end{bmatrix}.$$

$$(a) \text{ Is } \overline{v} = \begin{bmatrix} 0 \end{bmatrix} \text{ an eigenvector of } A?$$

$$A\overline{v} = \begin{bmatrix} z \end{bmatrix} \text{ but } \begin{bmatrix} z \end{bmatrix} \neq \lambda \begin{bmatrix} 0 \end{bmatrix} \text{ for any } \lambda. \text{ Thus } NO$$

(b) 
$$I_{s} \overline{w} = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$$
 an eigenvector of  $A^{?}$ .  
 $A\overline{w} = \begin{bmatrix} 10 \\ 5 \end{bmatrix} = 5 \cdot \begin{bmatrix} 2 \\ 1 \end{bmatrix} = 5\overline{w}$ . Thus, [Yes]  $\overline{w}$  is an eigenvector assoc. to the eigenvalue  $\lambda = 5$ .

Prop Let A be non and I be any scalar.

•  $\lambda$  is an eigenvalue of  $A \iff (A - \lambda I) \overline{x} = \overline{O}$  has a nontrivial solution

o the eigenvectors associated 
$$\lambda$$
 are precisely the nontrivial solutions to  $(A - \lambda I)_{\overline{X}} = \overline{0}$ 

• If X is an eigenvalue, then 
$$E_X(A) = Nul(A - \lambda I)$$
.  
Thus eigenspaces are subspaces.

$$\frac{pq}{r} = \overline{r} \left( I_{A} - A \right) = \overline{r} \left( \overline{r} - \overline{r} A \right) = \overline{r} = \overline{r} \left( \overline{r} - \overline{r} A \right) = \overline{r} = \overline{r} \left( \overline{r} - \overline{r} A \right) = \overline{r} = \overline{r} \left( \overline{r} - \overline{r} A \right) = \overline{r} = \overline{r} \left( \overline{r} - \overline{r} A \right) = \overline{r} = \overline{r} \left( \overline{r} - \overline{r} A \right) = \overline{r} = \overline{r} \left( \overline{r} - \overline{r} A \right) = \overline{r} \left( \overline{r} - \overline{r} \right) = \overline{r} \left( \overline{r} \right) = \overline{r} \left( \overline{r} \right) = \overline{r} \left( \overline{r} \right) = \overline{r$$

$$Ex \text{ Let } A = \begin{bmatrix} 3 & 0 & 2 & 0 \\ 1 & 3 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 4 \end{bmatrix}.$$
(a) Show that  $\lambda = 4$  is an eigenvalue and find  
a basis for  $E_4(A)$ .  

$$A - 4I = \begin{bmatrix} 3 & 0 & 2 & 0 \\ 1 & 3 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 4 \end{bmatrix} - \begin{bmatrix} 4 & 0 & 0 & 0 \\ 0 & 4 & 0 & 0 \\ 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 4 \end{bmatrix} = \begin{bmatrix} -1 & 0 & 2 & 0 \\ 1 & -1 & 1 & 0 \\ 0 & 1 & -3 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

We now solve 
$$(A - 4I)\overline{x} = \overline{0}$$
  

$$\begin{bmatrix} -1 & 0 & 2 & 0 & | & 0 \\ 1 & -1 & 1 & 0 & | & 0 \\ 0 & 1 & -3 & 0 & | & 0 \\ 0 & 0 & 0 & | & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & -2 & 0 & | & 0 \\ 0 & 1 & -3 & 0 & | & 0 \\ 0 & 0 & 0 & 0 & | & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & -2 & 0 & | & 0 \\ 0 & 1 & -3 & 0 & | & 0 \\ 0 & 0 & 0 & 0 & 0 & | & 0 \end{bmatrix} \xrightarrow{X_1 = 2x_3}_{X_2 = 3x_3}$$

$$5 = X_3 = 5ree$$

$$t = X_4 = 5ree$$

$$\overline{X} = \begin{bmatrix} 2 \\ 3 \\ 5 \\ 5 \\ t \end{bmatrix} = 5 \begin{bmatrix} 2 \\ 3 \\ 1 \\ 0 \end{bmatrix} + t \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$$
 S, t in  $\mathbb{R}$ 

• just for fun...  

$$A \cdot \begin{bmatrix} 2 \\ 3 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 8 \\ 12 \\ 4 \\ 0 \end{bmatrix} = 4 \begin{bmatrix} 2 \\ 3 \\ 1 \\ 0 \end{bmatrix}$$

(b) Show that 3 is not an eigenvalue of A.  

$$A-3I = \begin{cases} 0020\\ 1010\\ 01-20\\ 0001 \end{cases}$$

Now solve 
$$(A-3I)_{\overline{X}}=\overline{0}$$
 no free variables  
 $\begin{bmatrix} 0 & 0 & 2 & 0 & | & 0 \\ 1 & 0 & 1 & 6 & | & 0 \\ 0 & 1 & -2 & 0 & | & 0 \\ 0 & 0 & 0 & | & 0 \end{bmatrix}$  no  $\begin{bmatrix} 0 & 0 & 0 & | & 0 \\ 0 & 0 & 0 & | & 0 \\ 0 & 0 & 0 & | & 0 \end{bmatrix}$   $\overline{X}=\overline{0}$   
o There is only the trivial sol, so 3 is not an  
eigen value of A.

A is an eigenvalue 
$$(A - \lambda I) = \overline{0}$$
 has non-trivial  
of A  
 $(A - \lambda I) = \overline{0}$  has non-trivial  
 $(A - \lambda I) = 0$   
 $(A - \lambda I) = 0$ 

$$\underbrace{E_{X}}_{z \neq 1} = A = \begin{bmatrix} 2 & 7 \\ 7 & 2 \end{bmatrix}. Find the char. poly of A. P(h) = det (A - h) = det (\begin{bmatrix} 2 & 7 \\ 7 & 2 \end{bmatrix} - \begin{bmatrix} h & 0 \\ 0 & h \end{bmatrix}$$
  
 = det  $\begin{pmatrix} 2 - h & 7 \\ 7 & 2 - h \end{pmatrix} = (2 - h)^{2} - 47 = h^{2} - 4h - 45$ 

Thus,

Ex Find the eigenvalues of each matrix.  
() 
$$A = \begin{bmatrix} 2 & 7 \\ 7 & 2 \end{bmatrix}$$
  
char. poly is  $p(\lambda) = \lambda^2 - 4\lambda - 45 = (\lambda - 9)(\lambda + 5)$   
eigenvalues are  $\lambda = -5, 9$   
(2)  $B = \begin{bmatrix} 3 & 4 & 11 \\ 0 & -5 & 7 \\ 0 & 0 & 3 \end{bmatrix}$ .  
char poly is  $p(\lambda) = det (B - \lambda T)$   
 $= det \left( \begin{bmatrix} 3 & 4 & 11 \\ 0 & -5 & 7 \\ 0 & 0 & 3 \end{bmatrix} - \begin{bmatrix} \lambda & 0 & 0 \\ 0 & \lambda & 0 \\ 0 & 0 & \lambda \end{bmatrix}$ 

$$= \begin{vmatrix} 3-3 & 4 & 11 \\ 0 & -5-3 & 7 \\ 0 & 0 & 3-3 \end{vmatrix}$$
$$= (3-3)(-5-3)(3-3)$$
eigenvalues are  $\boxed{3,3,5}$  multiplicity of 3 is 2

Theorem IS A is an upper or lower triangular new matrix, then the eigenvalues of A are precisely the entries on the main diagonal.

Similarity

Det het A, B be nxn. Then A is <u>similar</u> to B if there is an invertible matrix P such that

$$B = P^{-1}AP$$

\* This is the same as  $A = PBP^{-1} = (P^{-1})^{-1}BP$ so A similiar to B  $\iff$  B similar to A.

Pt write  $B = P^{T}AP$  for some invertible matrix P. () det  $B = det(P^{T}AP) = det P^{-1} \cdot det A \cdot ded P$  $= \frac{det A \cdot ded P}{det P}$  = det A.

(2)  

$$P_{B}(\lambda) = deA (B - \lambda I)$$

$$= deA (P^{T}AP - \lambda I)$$

$$= deA (P^{T}AP - \lambda P^{-1}P)$$

$$= deA \left[P^{-1}(AP - \lambda P)\right]$$

$$= deA \left[P^{-1}(A - \lambda I)P\right]$$

$$= deA (A - \lambda I)$$

$$= P_{A}(\lambda).$$

Row operations usually do change eigenvalues, but similarity does not. 5.3 Diagonalization

Thinking about powers of a matrix ...  
Q: Let 
$$D = \begin{bmatrix} 2 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 2 & 0 \\ 0 & 1^2 \end{bmatrix} = \begin{bmatrix} 128 & 0 \\ 0 & 1 \end{bmatrix}$$
  
 $= \begin{bmatrix} 2^{3} & 0 \\ 0 & 1^2 \end{bmatrix} = \begin{bmatrix} 128 & 0 \\ 0 & 1 \end{bmatrix}$   
 $x = \begin{bmatrix} 2^{3} & 0 \\ 0 & 1^2 \end{bmatrix} = \begin{bmatrix} 128 & 0 \\ 0 & 1 \end{bmatrix}$   
 $x = \begin{bmatrix} 2^{3} & 0 \\ 2 & 0 \end{bmatrix} = \begin{bmatrix} 128 & 0 \\ 0 & 1 \end{bmatrix}$   
 $x = \begin{bmatrix} 2^{3} & 0 \\ 2 & 0 \end{bmatrix}$   
 $x = \begin{bmatrix} 2^{3} & 0 \\ 2 & 0 \end{bmatrix} = \begin{bmatrix} 128 & 0 \\ 0 & 1 \end{bmatrix}$   
 $A^{2} = \begin{bmatrix} 3 & -1 \\ 2 & 0 \end{bmatrix} \begin{bmatrix} 3 & -1 \\ 2 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 \end{bmatrix}$   
However, it is true that A is similar to a  
diagonal matrix:  
 $A^{2} = \begin{bmatrix} 2 & 0 \\ 2 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$   
 $A^{2} = \begin{bmatrix} 0 & 0 & 0 \end{bmatrix}^{2}$   
Notice that  
 $A^{2} = \begin{bmatrix} 0 & 0 & 0 \end{bmatrix}^{2}$   
 $A^{2} = \begin{bmatrix} 0 & 0 & 0 \end{bmatrix}^{2}$   
 $A^{2} = \begin{bmatrix} 0 & 0 & 0 \end{bmatrix}^{2}$   
 $A^{2} = \begin{bmatrix} 0 & 0 & 0 \end{bmatrix}^{2}$   
 $D = \begin{bmatrix} 1 & 1 \\ 0 & 2 \end{bmatrix}, p^{2} \begin{bmatrix} 2 & -1 \\ -1 & 1 \end{bmatrix}$   
 $A^{2} = \begin{bmatrix} 0 & 0 & 0 \end{bmatrix}^{2}$ 

$$A^{T} = \begin{bmatrix} 1 & 1 \\ 1 & 2 \end{bmatrix} \begin{bmatrix} 12 & 8 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 2 & -1 \\ -1 & 1 \end{bmatrix}$$
$$= \begin{bmatrix} 255 & -127 \\ 254 & -126 \end{bmatrix} \quad not so bad$$

\* So, 
$$A^{k}$$
 is pretty easy to compute if  
A is similar to a diagonal matrix  
Prop If  $A = P D p^{i}$ , then  $A^{k} = P D^{k} p^{i}$ .  
Det A matrix is diagonalizable if it is  
similar to a diagonalizable if it is  
similar to a diagonal matrix.  
Q: It seems valuable to know if a matrix  
is diagonalizable, but how can we determ  
this?  
Suppose  $A = P D p^{-1}$  where  $D = \begin{bmatrix} d_{1} & 0 \\ 0 & d_{n} \end{bmatrix}$ . Then  
 $A P = P D$   
Let's compare columns of LHS and RHS.  
write  $P = [v_{1} \cdots v_{n}]$ .  
 $A P = \begin{bmatrix} A \overline{v}_{1} & A \overline{v}_{2} \cdots & A \overline{v}_{n} \end{bmatrix}$   
 $P D = \begin{bmatrix} P \begin{bmatrix} d_{1} \\ 0 \end{bmatrix} P \begin{bmatrix} a \\ b \\ 0 \end{bmatrix} = \begin{bmatrix} d_{1} \overline{v}_{1} & d_{1} \overline{v}_{2} \cdots & d_{n} \overline{v}_{n} \end{bmatrix}$ 

$$AP = \begin{bmatrix} A\overline{v}_{1} & A\overline{v}_{2} & \cdots & A\overline{v}_{n} \end{bmatrix}$$

$$PD = \begin{bmatrix} P\begin{bmatrix} d_{1} \\ \vdots \\ 0 \end{bmatrix} & P\begin{bmatrix} d_{2} \\ \vdots \\ 0 \end{bmatrix} & \cdots & P\begin{bmatrix} 0 \\ d_{n} \end{bmatrix}$$

$$= \begin{bmatrix} d_{1}\overline{v}_{1} & d_{2}\overline{v}_{2} & \cdots & d_{n}\overline{v}_{n} \end{bmatrix}$$

The Av, =  $d_{\overline{v}_1}$ , Av<sub>2</sub> =  $d_2\overline{v}_2$ , ..., Av<sub>n</sub> =  $d_n\overline{v}_n$ Whoa... the entries of D are eigenvalues of A and the columns and P are assoc. eigenvectors!

Diagonalization Theorem Let Abenxn.  
Then A is diagonalizable if and only if  
A has a linearly independent eigenvectors.  
Further, if 
$$\overline{v}_{1,...,v_n}$$
 one lin. ind. eigenvectors  
corresponding to the eigenvalues  $\lambda_{1,...,v_n}$ ,  
then  $A = PDP^{-1}$  where

$$P = \begin{bmatrix} \overline{v}_1 & \overline{v}_2 & \cdots & \overline{v}_n \end{bmatrix}$$
$$D = \begin{bmatrix} \gamma_1 & \gamma_2 & \cdots & \gamma_n \\ \gamma_2 & \ddots & \gamma_n \end{bmatrix}$$

Ex Diagonalize the following if possible.  
(a) 
$$A = \begin{bmatrix} 1 & 0 & 0 \\ 3 & z & 0 \\ 0 & 0 & 1 \end{bmatrix}$$
 (b)  $B = \begin{bmatrix} 1 & 0 & 0 \\ 3 & 1 & 0 \\ 0 & 0 & 2 \end{bmatrix}$   
mult. 2  
(a) A is lower D, so eigenvalues are  $\lambda = 1, 2$   
(b)  $A = L, A = N, A = L, A$ 

.

$$\begin{array}{l} \textcircled{ } & \underbrace{\lambda=2} \\ & E_{2}(A) + Nnl(A-2I) = Nnl(\left(\begin{smallmatrix} -10 & 0 \\ 0 & 0 & -1 \end{smallmatrix}\right) \\ & \left[\begin{smallmatrix} -1 & 0 & 0 & 0 \\ 3 & 0 & 0 & 0 \end{smallmatrix}\right] - \left[\begin{smallmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{smallmatrix}\right] \\ & \begin{bmatrix} -1 & 0 & 0 & 0 \\ 3 & 0 & 0 \end{smallmatrix}\right] - \left[\begin{smallmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \end{smallmatrix}\right] \\ & \begin{bmatrix} -1 & 0 & 0 \\ 3 & 0 & 0 \end{smallmatrix}\right] - \left[\begin{smallmatrix} 0 & 0 \\ 0 & 0 & 0 \end{smallmatrix}\right] \\ & \begin{bmatrix} -1 & 0 & 0 \\ 0 & 0 \end{smallmatrix}\right] \\ & \begin{bmatrix} -1 & 0 & 0 \\ 0 & 0 \end{smallmatrix}\right] \\ & A Basis for E_{2}(A) is \\ & \begin{bmatrix} 0 & 0 \\ 1 \\ 0 \end{bmatrix} \\ & \begin{bmatrix} 0 & 0 \\ 1 \\ 0 \end{bmatrix} \\ & \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \\ & \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \\ & \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \\ & \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \\ & \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \\ & \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \\ & \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \\ & \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \\ & \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \\ & \begin{bmatrix} 0 \\ 0 \end{bmatrix} \\ &$$

(b) Eigmvalues of Base again 
$$\lambda = 1, 2$$
  
(b)  $E_{1}(B) = Nul (B-T) = Nul (\begin{bmatrix} 0 & 0 & 0 \\ 2 & 0 & 0 \end{bmatrix})$   
 $\begin{bmatrix} 6 & 0 & 0 & 0 \\ 3 & 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$   
A basis for  $E_{1}(B)$  is  $\{\begin{bmatrix} 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}\}$   
 $\begin{bmatrix} -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} = Nul (\begin{bmatrix} -1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$   
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 $\begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0$ 

Theorem Lat A be non. If A has a different eigenvalues, then A is diagonalizable. \* If A does not have a different eigenvalues, A may or may not be diagonalizable.

Ex Explain, quickly, why each of the following are  
diagonalizable.  
$$A = \begin{bmatrix} 5 & 0 & 0 \\ 0 & 6 & 0 \\ 6 & 11 & \pi & 0 \\ 1 & 2 & 3 & -1 \end{bmatrix} \qquad B = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}.$$

• A is 
$$4\times4$$
 and has  $4$  distinct eigenvalues:  $\lambda = 5, 0, \pi, -1$   
• char poly at B is  $\begin{pmatrix} 1-\lambda & 2\\ 3 & 4-\lambda \end{pmatrix} = (1-\lambda)(4-\lambda) - 6$   
 $= \lambda^2 - 5\lambda - 2$ 

Quad. formula says roots one 
$$-5\pm\sqrt{33^{+}}$$
, so  
 $Z$   
B is  $2\pi^{2}$  and has 2 distinct eigenvalues:  $\lambda = -5\pm\sqrt{33^{+}}$   
Z